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PATENT & TRADEMARK OFFICE

101168026

Docket No. 54680USA1A

**PATENT ASSIGNMENT RECORDATION COVER SHEET**

To: The Commissioner of Patents and Trademarks:  
Please record the attached original document or copy thereof.

Submission Type:  
☒ New  
☐ Resubmission (Non-Recordation):  
Document ID#  
☐ Correction of PTO Error: Reel # \_\_\_\_\_ Frame # \_\_\_\_\_  
☐ Corrective Document: Reel # \_\_\_\_\_ Frame # \_\_\_\_\_

Conveyance Type:  
☒ Assignment  
☐ Other:

3549 U.S. PRO  
09/30/99

MEQ 9-30-99

Name of conveying party(ies) Execution Date (Month/Day/Year)  
Clayton A. George 9/30/99  
Michael A. Johnson 9/30/99

Name and address of receiving party(ies):  
3M Innovative Properties Company  
P.O. Box 33427  
St. Paul, Minnesota 55133-3427

Additional names of conveying party(ies) attached?  
☐ Yes ☒ No

Application number or patent number:  
☒ This document is being filed with a new patent application on September 30, 1999  
☐ This document is to be recorded against the following patent application or patent:

Patent Cooperation Treaty (PCT):  
Enter PCT application number only if a U.S. Application Number has not been assigned: PCT/US99/07195

Name and address of party to whom correspondence concerning document should be mailed:  
Name: Brian E. Szymanski, Phone Number: (651) 737-9138  
Office of Intellectual Property Counsel  
3M Innovative Properties Company  
P.O. Box 33427  
St. Paul, Minnesota 55133-3427

Number of Properties: Enter the total number of properties involved 1

Fee Amount: Fee Amount for Properties Listed (37 CFR 3.41) X \$40.00

Method of Payment: ☒ Charge to Deposit Account No. 13-3723 = \$40.00  
Authorization to charge additional fees: ☒ Yes ☐ No

**DO NOT USE THIS SPACE**

Statement and signature  
To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Brian E. Szymanski  
Printed Name of Attorney Signature Date 9/30/99

Pages: Total number of pages of the attached conveyance document including any attachments

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments, Washington, D.C. 20231

USPTO forms and instructions are available at www.uspto.gov

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UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

DECEMBER 29, 1999

PTAS

3M INNOVATIVE PROPERTIES COMPANY  
BRIAN E. SZYMANSKI  
OFFICE OF INTELLECTUAL PROPERTY COUNSEL  
P.O. BOX 33427  
ST. PAUL, MINNESOTA 55133-3427



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B.E. SZYMANSKI

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 09/30/1999

REEL/FRAME: 010289/0167  
NUMBER OF PAGES: 1

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

GEORGE, CLAYTON A.

DOC DATE: 09/30/1999

ASSIGNOR:

JOHNSON, MICHAEL A.

DOC DATE: 09/30/1999

ASSIGNEE:

3M INNOVATIVE PROPERTIES COMPANY  
P.O. BOX 33427  
ST. PAUL, MINNESOTA 55133-3427

SERIAL NUMBER: 09409520

FILING DATE: 09/30/1999

PATENT NUMBER:

ISSUE DATE:

SHIRLIE SIMON, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

OFFICE OF INTELLECTUAL  
PROPERTY COUNSEL  
3M INNOVATIVE PROPERTIES COMPANY

JAN 07 2000

REFERRED TO BES  
me

ASSIGNMENT

Whereas We, the below named inventors, with residences and citizenships as indicated below, have made an invention in

**MULTI-LAYERED SEALANT**

and have contemporaneously executed an application for Letters Patent of the United States of America based thereon;

Now, therefore, for good and valuable consideration, receipt of which is acknowledged, we have individually and jointly agreed to assign and transfer and do hereby assign and transfer unto the 3M INNOVATIVE PROPERTIES COMPANY, a wholly owned subsidiary of MINNESOTA MINING AND MANUFACTURING COMPANY, and a corporation of Delaware, having its principal office at Saint Paul, Minnesota, its successors and assigns, the entire right, title, and interest in and to the said invention and application, and in and to any division or continuation (in whole or in part) of said application, and in and to any and all improvements in the said invention made by us or any of us or made jointly with others (provided any such improvement is made during, or within one year after the termination of, the employment by Minnesota Mining and Manufacturing Company and any of its existing or future subsidiaries of whichever of us, solely or jointly with one or more others, has made the same), and in and to any and all Letters Patent, reexaminations, reissues, or extensions thereof, of the United States of America and countries foreign thereto (including the right to apply for Letters Patent, Utility Models, or Inventors' Certificates in foreign countries in its own name and to claim any priority rights for such foreign applications to which such applications are entitled under international conventions, treaties, or otherwise), which have been or may be granted thereon or on any divisional, continuation (in whole or in part), renewal, reexamination, reissue, or other or further application based in whole or in part upon the said invention or improvements thereon, to be held and enjoyed as fully and exclusively as they would have been by us or any of us had this assignment and transfer not been made.

We do further agree for ourselves and for our heirs, executors, and administrators, to execute and deliver without further consideration any further applications, assignments, and documents, and to perform such other acts as we lawfully may, that may be deemed necessary by the said Company, its successors, assigns, and nominees, fully to secure its right, title, and interest as aforesaid and to obtain or maintain Letters Patent, Utility Models, or Inventors' Certificates in any and all countries;

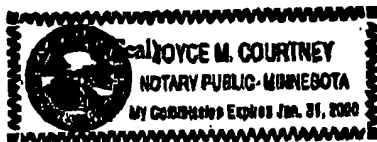
And we do hereby authorize and request the Commissioner of Patents to issue any and all Letters Patent which may be granted upon any of the said applications, to the said 3M Innovative Properties Company, as the assignee of the entire right, title, and interest therein.

  
 Clayton A. George  
 Residence: Aston, Minnesota USA  
 Citizenship: United States of America

STATE OF MINNESOTA )

COUNTY OF RAMSEY ) ss.

On this 30th day of September 1999, before me personally appeared the above-named Clayton A. George, personally known by me, and known by me to be the person described in and who executed the foregoing instrument, and who acknowledged that said person executed the same as said person's free act and deed, on the day and year aforesaid.



  
 George M. Courtney  
 Notary Public

*Michael A. Johnson*

Docket No. 54680USA1A

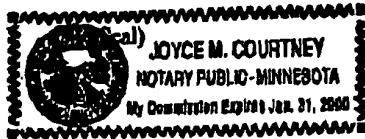
Michael A. Johnson  
Residence: Stillwater, Minnesota USA  
Citizenship: United States of America

STATE OF MINNESOTA )

COUNTY OF RAMSEY ) ss.

On this 30th day of September 1999 before me personally appeared the above-named Michael A. Johnson, personally known by me, and known by me to be the person described in and who executed the foregoing instrument, and who acknowledged that said person executed the same as said person's free act and deed, on the day and year aforesaid.

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Joyce M. Courtney  
Notary Public